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Transmitted herewith for filing under 37 CFR 1.53(b) is a(n): ☒ Utility ☐ Design

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**TITLE: METHOD AND SYSTEM FOR PROCESSING DATA FOR NETWORK CONNECTIONS**

Enclosed are:

- ☒ The Declaration and Power of Attorney. ☒ signed ☐ unsigned or partially signed  
☒ 26 sheets of drawings (one set) ☐ Associate Power of Attorney  
☐ Form PTO-1449 ☐ Information Disclosure Statement and Form PTO-1449  
☐ Priority document(s) ☐ (Other) \_\_\_\_\_ (fee \$ \_\_\_\_\_)

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## **Title**

Method and System for Processing Data for Network Connections

## **Field of Invention**

The present invention relates generally to computer networks. More particularly, it relates to a method and system for processing data used to describe network connectivity.

## **Background**

As communications networks, such as the Internet, carry more and more traffic, efficient use of the bandwidth available in the network becomes more and more important. Switching technology was developed in order to reduce congestion and associated competition for the available bandwidth. Switching technology works by restricting traffic. Instead of broadcasting a given data packet to all parts of the network, switches are used to control data flow such that the data packet is sent only along those network segments necessary to deliver it to the target node. The smaller volume of traffic on any given segment results in few packet collisions on that segment and, thus, the smoother and faster delivery of data. A choice between alternative paths is usually possible and is typically made based upon current traffic patterns.

The intelligent routing of data packets with resultant reduction in network congestion can only be effected if the network topology is known. The topology of a network is a description of the network which includes the location of and interconnections between nodes on the network. The word "topology" refers to either the physical or logical layout of the network, including devices, and their connections in relationship to one another. Information necessary to create the topology layout can be derived from tables stored in network devices such as hubs, bridges, and switches. The information in these tables is in a constant state of flux as new entries are being added and old entries time out. Many times there simply is not enough information to determine where to place a particular device.

Switches examine each data packet that they receive, read the source addresses, and log those addresses into tables along with the switch ports on which the packets were received. If a packet is received with a target address without an entry in the switches table, the switch receiving it

1 broadcasts that packet to each of its ports. When the switch receives a reply, it will have identified  
2 where the new node lies.

3 In a large network with multiple possible paths from the switch to the target node, this table  
4 can become quite large and may require a significant amount of the switch's resources to develop  
5 and maintain. As an additional complication, the physical layout of devices and their connections  
6 are typically in a state of constant change. Devices are continually being removed from, added to,  
7 and moved to new physical locations on the network. To be effectively managed, the topology of a  
8 network must be accurately and efficiently ascertained, as well as maintained.

9 Existing mapping methods have limitations that prevent them from accurately mapping  
10 topological relationships. Multiple connectivity problems are one sort of difficulty encountered by  
11 existing methods. For example, connectors such as routers, switches, and bridges may be  
12 interconnected devices in a network. Some existing methods assume that these devices have only a  
13 single connection between them. In newer devices, however, it is common for manufacturers to  
14 provide multiple connections between devices to improve network efficiency and to increase  
15 capacity of links between the devices. The multiple connectivity allows the devices to maintain  
16 connection in case one connection fails. Methods that do not consider multiple connectivity do not  
17 present a complete and accurate topological map of the network.

18 Another limitation of existing topology methods is the use of a single reference to identify a  
19 device. Existing methods use a reference interface or a reference address in a set of devices to  
20 orient all other devices in the same area. These methods assumed that every working device would  
21 be able to identify, or "hear," this reference and identify it with a particular port of the device. With  
22 newer devices, however, it is possible that the same address or reference may be heard out of  
23 multiple ports of the same device. It is also possible that the address or reference may not be heard  
24 from any ports, for example, if switching technology is used.

25 Still another limitation of existing mapping systems is that they require a complete copy of  
26 the topological database to be stored in memory. In larger networks, the database is so large that  
27 this really is not feasible, because it requires the computer to be very large and expensive.

1 Still another difficulty with existing systems is that they focus on the minutia without  
2 considering the larger mapping considerations. Whenever an individual change in the system is  
3 detected, existing methods immediately act on that change, rather than taking a broader view of the  
4 change in the context of other system changes. For example, a device may be removed from the  
5 network temporarily and replaced with its ports reversed. In existing systems, this swapped port  
6 scenario could require hundreds or thousands of changes because the reference addresses will have  
7 changed for all interconnected devices.

8 Still another disadvantage of existing methods is that they use a continuous polling paradigm.  
9 These methods continuously poll network addresses throughout the day and make decisions based  
10 on those continuous polling results. This creates traffic on the network that slows other processes.

11 Still another limitation of existing methods is the assumption that network parts of a  
12 particular layer would be physically separated from other parts. Network layer 1 may represent the  
13 physical cabling of the network, layer 2 may represent the device connectivity, and layer 3 may  
14 represent a higher level of abstraction, such as the groupings of devices into regions. Existing  
15 methods assume that all layer 3 region groupings are self-contained, running on the same unique  
16 physical networking. However, in an internet protocol (IP) network, multiple IP domains may co-  
17 exist on the same lower layer networking infrastructure. It has become common for a network to  
18 employ a virtual local area network (LAN) to improve security or to simplify network maintenance,  
19 for example. Using virtual LANs, a system may have any number of different IP domains sharing  
20 the same physical connectivity. As a result, existing methods create confusion with respect to  
21 topological mapping because networks with multiple IP addresses in different subnets for the  
22 infrastructure devices cannot be properly represented because they assume the physical separation  
23 of connectivity for separate IP domains. Still another limitation of existing methods is that they do  
24 not allow topological loops, such as port aggregation or trunking, and switch meshing.

### 25 **Summary of Invention**

26 A software method is disclosed for processing data pertaining to connections in a  
27 communications network, such as a computer network. The data may be used to map the topology  
28 of the network to aid network management. The system creates data structures referred to as

1 tuples to store the relationships between network nodes. A connection calculator receives tuple  
2 information from a tuple manager and creates additional tuples based on this data. The connection  
3 calculator identifies singly-heard host links, from which it then builds tuples to represent the network  
4 infrastructure. To build the infrastructure, the method creates tuples for the singly-heard host links,  
5 and then creates tuples for conn-to-conn links based on existing tuples and on hints derived from  
6 singly-heard host links tuples, which hints are maintained as extra host links tuples. The method  
7 then attempts to disprove invalid conn-to-conn links tuples and attempts to resolve conflicts  
8 between inconsistent tuples. The method creates tuples for nodes involving shared media  
9 connections. If the connection calculator cannot create a tuple because there is insufficient  
10 information about a connection, it requests additional information from that node. After the tuples  
11 are created, the connection calculator consolidates those binary tuples involving shared media  
12 connections into n-ary tuples to represent the shared media connection. The refined tuples may  
13 then be used to identify changes in the network topology.

#### 14 **Summary of Drawings**

15 Figure 1 is a drawing of a typical topological bus segment for representing the connectivity  
16 of nodes on a network.

17 Figure 2 is a drawing of a typical topological serial segment for representing the connectivity  
18 of nodes on a network.

19 Figure 3 is a drawing of a typical topological star segment for representing the connectivity  
20 of nodes on a network.

21 Figure 4 is a drawing of another typical topological star segment for representing the  
22 connectivity of nodes on a network.

23 Figure 5 is a drawing of the connectivity of an example network system.

24 Figure 6 is a drawing of the connectivity of another example network system.

25 Figure 7 is a block diagram of the system.

26 Figure 8 is a flow chart of the method of the system.

27 Figure 9 is a flow chart of the method used by the tuple manager.

28 Figure 10 is a flow chart of the method used by the connection calculator.

Figure 11 is a flow chart of the first weeding phase of the method used by the connection calculator.

Figures 12a-d are flow charts of an infrastructure-building phase of the method used by the connection calculator.

Figure 13 is a flow chart of a second weeding phase of the method used by the connection calculator.

Figure 14 is a flow chart of the noise reduction phase of the method used by the connection calculator.

Figure 15 is a flow chart of the look-for phase of the method used by the connection calculator.

Figures 16a-b are flow charts of the consolidation phase of the method used by the connection calculator.

Figure 17 is a flow chart of the method used by the topology converter.

Figures 18a-b are flow charts of the morph topo phase of the method used by the topology converter.

Figure 19 is a flow chart of the duplication discard phase of the method used by the topology converter.

Figures 20a-d are flow charts of the identify different tuples phase of the method used by the topology converter.

### **Detailed Description**

The system provides an improved method for creating topological maps of communication networks based. Connectivity information is retrieved from the network nodes and stored as “tuples” to track specifically the desired information necessary to map the topology. These lightweight data structures may store the host identifier, interface index, and a port. From this tuple information, the topology may be determined. A tuple may be a binary element insofar as it has two parts representing the two nodes on either end of a network link or segment. A “tuko” refers to a tuple component, such as half of a binary tuple.

As used herein, a node is any electronic component, such as a connector or a host, or combination of electronic components with their interconnections. A connector is any network device other than a host, including a switching device. A switching device is one type of connector and refers to any device that controls the flow of messages on a network. Switching devices include, but are not limited to, any of the following devices: repeaters, hubs, routers, bridges, and switches.

As used herein, the term “tuple” refers to any collection of assorted data. Tuples may be used to track information about network topology by storing data from network nodes. In one use, tuples may include a host identifier, interface information, and a port specification for each node. The port specification (also described as the group/port) may include a group number and a port number, or just a port number, depending upon the manufacturer’s specifications. A binary tuple may include this information about two nodes as a means of showing the connectivity between them, whether the nodes are connected directly or indirectly through other nodes. A “conn-to-conn” tuple refers to a tuple that has connectivity data about connector nodes. A “conn-to-host” tuple refers to a tuple that has connectivity data about a connector node and a host node. In one use, tuples may have data about more than two nodes; that is, they may be n-ary tuples, such as those used with respect to shared media connections described herein.

A “singly-heard host” (shh) refers to a host, such as a workstation, PC, terminal, printer, other device, etc., that is connected directly to a connector, such as a switching device. A singly-heard host link (shhl) refers to the link, also referred to as a segment, between a connector and an shh. A “multi-heard host” (mhh) refers to hosts that are heard by a connector on the same port that other hosts are heard. A multi-heard host link (mhhl) refers to the link between the connector and an mhh. A link generally refers to the connection between nodes. A segment is a link that may include a shared media connection.

Figure 1 is a drawing of a typical topological bus segment 100 for representing the connectivity of nodes on a network 110. In Figure 1, first and second hosts 121, 122, as well as a first port 131 of a first connector 140 are interconnected via the network 110. The bus segment

1 100 comprises the first and second hosts 121, 122 connected to the first port 131 of the first  
2 connector 140.

3 Figure 2 is a drawing of a typical topological serial segment 200 for representing the  
4 connectivity of nodes on the network 110. In Figure 2, the first host 121 comprises a second port  
5 132 on a second connector 145 which is connected via the network 110 to the first port 131 on the  
6 first connector 140. The serial segment 200 comprises the second port 132 on the second  
7 connector 145 connected to the first port 131 on the first connector 140. Figure 2 is an example of  
8 a connector-to-connector ("conn-to-conn") relationship.

9 Figure 3 is a drawing of a typical topological star segment 301 for representing the  
10 connectivity of nodes on the network 110. In Figure 3, the first host 121 is connected to the first  
11 port 131 of the first connector 140. The star segment 301 comprises the first host 121 connected  
12 to the first port 131 of the first connector 140. Figure 3 is an example of a connector-to-host  
13 ("conn-to-host") relationship.

14 Figure 4 is a drawing of another typical topological star segment 301 for representing the  
15 connectivity of nodes on the network 110. In addition to the connections described with respect to  
16 Figure 3, a third host 123 is connected to a third port 133 of the first connector 140 and a fourth  
17 host 124 is connected to a fourth port 134 of the first connector 140. In Figure 4, the star segment  
18 301 comprises the first host 121 connected to the first port 131 of the first connector 140, the third  
19 host 123 connected to the third port 133 of the first connector 140, and the fourth host 124  
20 connected to the fourth port 134 of the first connector 140. Thus, the star segment 301 comprises,  
21 on a given connector, at least one port, wherein one and only one host is connected to that port,  
22 and that host. In the more general case, the star segment 301 comprises, on a given connector, all  
23 ports having one and only one host connected to each port, and those connected hosts. Since the  
24 segments, or links, drawn using the topological methods of Figure 4 resemble a star, they are  
25 referred to as star segments.

26 For illustrative purposes, nodes in the figures described above and in subsequent figures are  
27 shown as individual electronic devices or ports on connectors. Also, in the figures the nodes are



represented as terminals. However, they could also be workstations, personal computers, printers, scanners, or any other electronic device that can be connected to networks 110.

Figure 5 is a drawing of the connectivity of an example network system. In Figure 5, first, third, and fourth hosts 121, 123, 124 are connected via the network 110 to first, third, and fourth ports 131, 133, 134 respectively, wherein the first, third, and fourth ports 131, 133, 134 are located on the first connector 140.

The first, third and fourth hosts 121, 123, 124 are singly-heard hosts connected to separate ports 131, 133, 134 of a common connector 140 – the first connector 140. The fifth and sixth hosts 125, 126 are singly-heard hosts connected to the third and fourth connectors 142, 143. The seventh and eighth hosts 127, 128 are multi-heard hosts connected to the same port 139 of the fifth connector 144. The multi-heard hosts 127, 128 illustrate a shared media segment 180, also referred to as a bus 180.

The second, third, fourth, and fifth connectors 141, 142, 143, 144 are interconnected and illustrate a switch mesh 181. Each of the connectors in the switch mesh 181 is connected to each other, either directly or indirectly, to create a fully meshed connection. In the mesh, traffic may be dynamically routed to create an efficient flow.

Figure 5 also shows an example of a port aggregation 182, also referred to as trunking 182. The first connector 140 is connected via the network 110 to the second connector 141 by two direct links, each of which is connected to different ports on the connectors. One link is connected to the sixth port 136 of the first connector 140 and to the seventh port of the second connector 137. The other link is connected to fifth port 135 of the first connector 140 and to the eighth port 138 of the second connector 141. In this example, two connectors illustrate the multiple connectivity between nodes. Depending upon the device specifications, devices such as connectors may be connected via any number of connectors. As explained herein, the system resolves multiple connectivity problems by tracking port information for each connection.

Figure 6 is a drawing of the connectivity of a portion of a network having three connectors 171, 172, 173. A first host 151 is connected directly to the first port 161 of the first connector 171 and the second host 152 is connected to a sixth port 166 of the third connector 173. The second

port 162 of the first connector 171 is connected directly to the third port 163 of the second, or intermediate, connector 172. The fourth port 164 of the intermediate connector 172 is connected directly to the fifth port 165 of the third connector 173.

Figure 7 shows a block diagram of the system. Figure 8 shows a flow chart of the method used by the system to retrieve and update the topology of the network. A tuple manager 300, also referred to as a data miner 300, gathers 902 data from network nodes and builds 904 tuples to update the current topology. The topology database "topodb" 350 stores the current topology for use by the system. The "neighbor data" database 310 stores new tuple data retrieved by the tuple manager 300. The connection calculator 320 processes the data in the neighbor data database 310 to determine the new network topology. The connection calculator 320 reduces 906 the tuple data and sends it to the reduced topology relationships database 330. The topology converter 340 then updates 908 the topology database 350 based on the new tuples sent to the reduced topology relationships database 330 by the connection calculator 320.

Figure 9 shows a flow chart of one operation of the tuple manager 300, as described generally by the data gathering 902 and tuple building 904 steps of the method shown in Figure 8. The tuple manager 300 receives 910 a signal to gather tuple data. The tuple manager 300 then retrieves 912 node information of the current topology stored in the topology database 350. This information tells the tuple manager 300 which devices or nodes are believed to exist in the system based on the nodes that were detected during a previous query. The tuple manager 300 then queries 914 the known nodes to gather the desired information. For example, the connectors may maintain forwarding tables that store connectivity data used to perform the connectors' ordinary functions, such as switching. Other devices may allow the system to perform queries to gather information about the flow of network traffic. This data identifies the devices heard by a connector and the port on which the device was heard. The tuple manager 300 gathers this data by accessing forwarding tables and other information sources for the nodes to determine such information as their physical address, interface information, and the port from which they "hear" other devices. Based on this information, the tuple manager 300 builds 916 tuples and stores 918 them in the "neighbor data" database 310. Some nodes may have incomplete information. In this case, the partial

information is assembled into a tuple and may be used as a "hint" to determine its connectivity later, based on other connections. The tuple manager 300 may also gather 920 additional information about the network or about particular nodes as needed. For example, the connection calculator 320 may require additional node information and may signal the tuple manager 300 to gather that information.

After the data is gathered and the tuples are stored in the neighbor database 310, the connection calculator 320 processes the tuples to reduce them to relationships in the topology. Figure 10 shows a flow chart of the process of the connection calculator 320, as shown generally in the reduction step 906 of the method shown in Figure 8. The connection calculator 320 performs a first weeding phase 922 to identify singly-heard hosts to distinguish them from multi-heard hosts. Singly-heard hosts refer to host devices connected directly to a connector. The connection calculator 320 then performs an infrastructure-building phase 924 to remove redundant connector-to-connector links and to complete the details for partial tuples that are missing information. Then, the connection calculator 320 performs a second weeding phase 926 to resolve conflicting reports of singly-heard hosts. The connection calculator 320 then performs a noise reduction phase 928 to remove redundant neighbor information for connector-to-host links. If clarification of device connectivity is required, the connection calculator 320 performs a "look for" phase 930 to ask the tuple manager 300 to gather additional data. The tuple data is then consolidated 932 into segment and network containment relationships. The connection calculator 320 may also tag redundant tuples to indicate their relevance to actual connectivity. These redundant tuples may still provide hints to connectivity of other tuples. As part of the consolidation phase 932, the connection calculator 320 creates new n-ary tuples (tuples having references to three or more tuples) for shared media segments.

Figure 11 is a flow chart of the connection calculator's first weeding process 922 for distinguishing singly-heard hosts. The purpose of the first weeding process 922 is to identify the direct connections between connectors and hosts; that is, those tuples having a first tuple that is a connector and a second tuple that is a host. The connection calculator 320 looks through the tuple list in the neighbor database 310, and for each tuple 402, the connection calculator 320 determines

404 whether the tuple is a connector-to-host (conn-to-host) link tuple. If it is not a conn-to-host link, the connection calculator 320 concludes 418 that it is a conn-to-conn link and processes 402 the next tuple. If the tuple is a conn-to-host link tuple, then the connection calculator 320 determines 406 whether the connector hears only this particular host on the port identified in the tuple. If the connector hears other hosts on this port, then the tuple is classified 416 as a multi-heard host link (mhl) tuple.

If the connector hears only the one host on the port – that is, if the host is a singly-heard host – then the connection calculator 320 determines 408 whether the host is heard singly by any other connectors. If no other connectors hear the host as a singly-heard host, then the tuple is classified as a singly-heard host link (shl) tuple 412 and other tuples for this host are classified 414 as extra host links (ehl). Another tuple for this host may be, for example, an intermediate connector connected indirectly to a host. For example, Figure 6 shows three connectors 171, 172, 173 the first connector is connected directly to the first host 151. This connection therefore forms an shl tuple. The intermediate connector 172 is indirectly connected to the first host 151. The tuple data indicates that the intermediate connector 172 is indirectly connected to the host and hears the host from a particular port. An extra host links tuple is created so that this data may be used later in conjunction with other extra host links tuples from devices across the network, to verify connectivity by providing hints about connections.

The first weeding process also attempts to identify conflicts. If other connectors hear the host as a singly-heard host, then a conflict arises and the tuple is classified 410 as a singly-heard conflict link (shcl) tuple to be resolved later. This conflict may arise, for example, if a host has been moved within the network, in which case the forwarding table data may no longer be valid. Certain connectors previously connected directly to the host may still indicate that the moved host is connected. When all tuples have been processed 402 to identify singly-heard host links, the first weeding phase 922 is complete.

Figures 12a-d show a flow chart of the infrastructure building phase 924 of the connection calculator 320. The purpose of the infrastructure building phase 924 is to determine how the connectors are set up in the network. The first part of the infrastructure building phase 924

manufactures tuples based on the list of singly-heard host link tuples identified in the first weeding phase 922. The purpose is to identify the relationship between the connectors in the extra host links tuples and the connectors directly connected to the singly-heard hosts. For each singly-heard host link 420, the connection calculator 320 processes 422 each extra host link that refers to the host. In the illustration of Figure 6, a conn-to-conn link tuple would represent the connection between the first connector 171 and the intermediate connector 172. An extra host link tuple would represent the indirect connection between the intermediate connector 172 and the first host 151. The conn-to-conn link tuple between the first connector 171 and the intermediate connector 172 is an example of an chlConn-to-shhlConn tuple. If a conn-to-conn link tuple exists 424 for the extra host link connector to the singly-heard host link connector (chlConn-to-shhlConn), then the connection calculator 320 updates 428 the tuple if it is incomplete. It is possible that the tuple data may be incomplete and a conn-to-conn link may not exist. In that case, a conn-to-conn tuple does not exist for the chlConn-to-shhlConn, then such a tuple is created 426.

After processing extra host links for singly-heard host links, the connection calculator 320 considers 430 each connector (referred to as conn1) in the tuples to determine the relationship between connectors. As illustrated in Figure 6, a single connector may be connected directly and indirectly to multiple other connectors. In Figure 6, the first connector 151 is connected to the intermediate connector 171 directly and also to the third connector 173 indirectly. The third connector 173 hears the first host 151 on the same part 165 that it hears the first connector 171 and the intermediate connector 172. The infrastructure building phase 924 tries to determine the relationship between other connectors heard on the same port of conn1. In a series of interconnected connectors, the connector on one end may not hear a connector on another end, but it may hear intermediate connectors, that in turn hear their own intermediate connectors. Tuples are created to represent the interconnection of conn-to-conn relationships. Based on this data, the connection calculator 320 can make inferences regarding the overall connection between connectors.

For every conn1, the connection calculator 320 considers 432 every other connector (conn2) to determine whether a conn1-to-conn2 tuple exists. If conn1-to-conn2 does not exist,

then the connection calculator 320 considers 436 every other conn-to-conn tuple containing conn2. The other connector on this tuple may be referred to as conn3. If conn2 hears conn3 on a unique port 438 and if conn1 also hears conn3 440, then the connection calculator 320 creates 442 a tuple for conn1-to-conn2 in the connector-to-connector links tuple list.

After processing all of the conn1 tuples, the connection calculator 320 processes 444 each conn1-to-conn2 links tuple to ensure that they have complete port data. For each incomplete tuple 446, the connection calculator 320 looks 448 for a different tuple involving conn1 in the extra host links tuples on a different port. If a different tuple is found 450, then the connection calculator 320 determines 452 whether conn2 also hears the host. If conn2 does hear the host, then the connection calculator 320 completes the missing port data for conn2. If conn2 does not also hear the host 452, then the connection calculator 320 continues looking 448 through different tuples involving conn1 in extra host links on different ports.

After attempting to complete the missing data in each of the conn-to-conn links tuples, the connection calculator 320 processes 456 each conn-to-conn links tuple. The purpose of this sub-phase is to attempt to disprove invalid conn-to-conn links. The connection calculator 320 considers 458 conn1 and conn2 of each conn-to-conn links tuple. Every other connector in conn-to-conn links may be referred to as testconn. For each testconn 460, the connection calculator 320 determines 462 whether the testconn hears conn1 and conn2 on different groups/ports. If testconn hears conn1 and conn2 on different ports, then the tuple is moved to extraconnlinks (ecl) 464. Otherwise, the connection calculator 320 continues processing 460 the remaining testconns.

Figure 13 shows a flow chart of the second weeding phase 926. The purpose of the second weeding phase 926 is to attempt to resolve conflicts involving singly-heard hosts identified in the first weeding phase 922. In the situation described herein in which more than one connector reports that a host is singly-heard, the second weeding phase 926 reviews the tuples created during the infrastructure-building phase 924 involving the connector and host in question and attempts to disprove the reported conflict. The connection calculator 320 processes 466 each singleConflictLinks (scl) tuple (sometimes referred to as the search tuple) and considers 468 conn1 and host1 of the tuple. For each extra host links tuple containing host1 470, the connection

calculator 320 considers 472 conn2 of the tuple. If there is a tuple in conn-to-conn links for conn2 and conn1 474, and if there is a conn2-to-conn1 tuple in the extra host links tuples 476, and if the port is the same for conn2 hearing conn1 and host1 478, then the search tuple is moved 480 into the singly heard host links and other tuples containing host1 are removed 482 from the singleConflictLinks.

Figure 14 shows a flow chart of the noise reduction phase 928. The purpose of the noise reduction phase 928 is to handle those connections in which a connector is not directly connected to a host or to another connector. For example, networking technology may employ shared media connections between connectors, rather than dedicated media connectors. With a shared media connection, the entries in the forwarding tables for connectors attached to the shared media connection will include every node accessing the shared media connection and may not present a useful or accurate representation of the nodal connection. For example, if the network configuration in Figure 6 used a shared media connection between the first connector 171 and the intermediate connector 172, then the first connector is not really connected directly to the intermediate connector because other devices (not shown in Figure 6) may also use the shared media connection. These other devices may include web servers, other connectors, other subnetworks, etc. Tuples will be created for the connectors 171, 172 on opposing ends of the shared media. In this situation, it is inefficient to maintain point-to-point binary tuples for every connection. The noise reduction phase 928 disproves invalid tuples created by the shared media connections.

For each multi-heard host links (mhl) tuple, also referred to as multiHeardLinks (mhl) tuples (sometimes referred to as the search tuple) 484, conn1 and host1 are considered 486. For each extra host links tuple containing host1 488, conn2 is considered 490. If there is a tuple in conn-to-conn links for conn2 and conn1 492, and if there is a conn2-to-host1 tuple in extraHostLinks 494, and if the group/port for conn2 hearing conn1 and host1 is different 496, then the search tuple is moved 498 to extraHostLinks.

Figure 15 shows a flow chart for the "look for" phase 930. The purpose of this phase is to complete missing data for mhl tuples. There may exist connections on the network that have incomplete tuple data. For example, the network may simply have no traffic between certain nodes,

in which case data might not be stored in forwarding tables. In another example, a forwarding table may not have sufficient room to store all of the required information and might delete data on a FIFO basis. In the look for phase 930, the connection calculator 320 instructs the tuple manager 300 to query specific nodes to retrieve the missing data. Data that was not stored in a forwarding table on the first interrogation may be present on a subsequent query. For each mhh1 tuple 500, the connection calculator 320 considers 502 conn1 and host1. If the conn1 group/port is already in an “alreadyDidLookfors” list, then a list is created 508 for all connectors in conn-to-conn links that are heard by conn1 on the same group/port as host1. For each connector (conn2) in the list 510, the connection calculator 320 determines 512 whether there is a conn2-to-host1 tuple in the mhh1 tuples. If there is not such a tuple, then the connection calculator 320 initiates a look-for for conn2-to-host1 via the tuple manager 300. When each connector in the list has been processed 510, the conn1 group/port tuco is added 516 to an alreadyDidLookfors list. As an additional portion of the look for phase 930 (not shown in figures) the system may ask a user to verify or clarify information about connectivity. For example, the system may show the user the perceived connectivity or the unresolved connectivity issues and request the user to add information as appropriate.

The connection calculator 330 process described above collects the tuple information from the tuple manager 300, builds tuples new tuples and removes redundant or unnecessary tuples to produce the new topology. This topology may have incomplete tuples possibly resulting from extraneous information that the connection calculator 330 could not disprove. To refine the new topology, the connection calculator 330 can request the tuple manager 300 to obtain additional information about particular nodes or it may also request a user to refine the topology by adding or removing tuples. Using the process of the connection calculator 330, tuples marked as non-essential may be removed from the new topology to save space and to simplify the topology. The connection calculator 330 is not confused by multiple connectivity situations such as port aggregation 182 or switch meshing 181 as shown in Figure 5, because the tuples represent point-to-point, or neighbor-to-neighbor, connectivity showing each connection in the network. This point-to-point connectivity concept also helps enable the system to avoid difficulties that occur in



1 systems that track higher levels of abstraction, such as layer 3 connectivity. Also, the tuples may  
2 contain only selected information to minimize the storage space required for the topology.

3 Figures 16a-b show a flow chart of the consolidation phase 932. The purpose of this phase  
4 is to consolidate the tuples that involve shared media connections. After the noise reduction phase  
5 928, a considerable number of tuples involving shared media may remain. Rather than maintain a  
6 binary tuple for each of the connections, an n-ary tuple is created for the link using a tuco for each  
7 connector and each host connected thereto. For each mhl tuple 518, conn1 and host1 are  
8 considered 520. If there are more conn1 group/port tuples in multiHeardLinks, and if are not any  
9 n-ary multiHeardSegments (mhs) tuples 524, then an mhs tuple is created 526. If host1 is not  
10 already in this particular mhs tuple 528, then conn2 of the tuple is considered 534. If there is a  
11 conn1-to-conn2 conn-to-connLinks tuple on the same port as conn1-to-host1 536, then all  
12 multiHeardLinks tuples for conn2-to-host1 with the same conn2 group/port as the conn1-to-conn2  
13 are added 538 to the current mhs tuple.

14 After processing each mhl tuple 518, each singly-heard host links (shhl) tuple, also referred  
15 to as a singlyHeardLinks (shl) tuple, is considered 540. For each shhl tuple, the connector and host  
16 are considered 542. If there is no existing singlyHeardSegments (shs) tuple for the connector 544,  
17 then an shs tuple is created 546. The host tuco is then added to the shs 548.

18 Figure 17 shows a flow chart of the method used by the topology converter 340, as  
19 described generally by the topology update step 908 of the method shown in Figure 8. The  
20 topology converter 340 converts 934 the topology into tuple lists, also referred to as the "morph  
21 topo" phase 934. It then compares 936 the list from the topology currently stored in the topology  
22 database 350 with the new list generated by the connection calculator 320 and discards 936  
23 identical tuples in what is also referred to as the "discard duplicates" phase 936. It then takes  
24 action 938 on the changes in the topology as determined by the changes in the tuple lists, in what is  
25 also referred to as the "identify different tuples" phase 938.

26 Figure 18a shows a flow chart for the "morph topo" phase 934. For each node in the  
27 topology 550, the topology converter 340 determines 552 whether the node is a connector. If the  
28 node is a connector, then for each connected interface (conniface) of the connector (conn1) 554,



exact match in the current tuples stored in the topodb. If an exact match is found 604, then the new tuple is marked 606 as "no change" indicating that this is an identical tuple.

Figures 20a-d show a flow chart for the identify different tuples phase 938. The system looks through each tuple in the new SinglyHeardSegments (newSHS) tuple list 608 and tries to identify and fix 610 swapped ports on connectors. Swapped ports are identified by considering those segment tuples in both the new topology and the existing topology that differ only by the port specification in the tuco. Each tuple that is fixed as a swapped port is marked 612 as "handled." The system also looks through each tuple in the new multiHeardSegments tuple list (newMHS) 614 and tries to identify and fix 616 swapped ports on connectors. Each tuple that is fixed as a swapped port is marked 618 as "handled."

The system then processes 620 each unmarked tuple in the newSHL tuples. Four cases are possible for the host of the newSHL tuples. The host of the newSHL can be found in the current singlyHeardLinks (curSHL) 622, the current multiHeardLinks (curMHL) 630, the current connLinks (curCL) 638, or the current UnheardOfLinks (curUOL) 642. If the host of a newSHL tuple is found 622 in the current SinglyHeardLinks (curSHL) tuples, then the system determines 624 if there is a matching connector tuco between the newSHL tuples and the curSHL tuples. If there is a matching tuco, then the system changes 626 the host connection attribute. If there is not a matching tuco, then the host connection is moved 628 in the topology.

If the host is found in the curMHL tuples 630, then the system determines 632 whether there is a matching connector tuco between the newSHL tuples and the curSHL tuples. If there is a matching connector, then the segment type of connection is changed 634. If there is not a matching connector, then the host connection is moved 636 in the topology. If the host is found in the curCL tuples 638, then the host is moved 640 into a star segment of the connector. If it is found in the curUOL 642, then the host is moved 644 into the star segment of the connector.

Figure 20c shows another stage of the processing undertaken during the identify different tuples phase 938. For each unmarked tuple in the new multiHeardLinks tuples (newMHL) 946, four cases are possible for the host of the newMHL. The host of the newMHL may be found in the curSHL 648, the curMHL 656, the curCL 664, or the curUOL 668. If the host is found in the

curSHL 648, then the system determines 650 whether there is a matching connector tuco between the newMHL and the curMHL. If there is a matching tuco, then the segment type of connection is changed 652. If there is not a matching tuco, then the host connection is moved 654 in the topology.

If the host is found in the curMHL tuples 656, then the system determines 658 whether there is a matching connector tuco in both the curMHL tuples and the newMHL tuples. If there is a matching connector tuco, then the host connection attribute is changed 660. If there is not a matching tuco, then the host connection is moved 662 in the topology. If the host is found in the curCL tuples 664, then the host is moved into a bus segment of a connector. If the host is found in the curUOL tuples 668, then the host connection is moved 670 in the topology.

Figure 20d shows another portion of the identify different tuples phase 938. For each unmarked tuple in the newCL tuples 672, there are three possibilities for the connector. The connector of the unmarked tuple in newCL can be found in the curSHL or curMHL 674, in the curCL 678, or in the curUOL 682. If each connector is found in the curSHL or curMHL list 674, then the system creates 676 a new point-to-point segment for the connectors. If the connectors are found in the curCL 678, then the connection attributes of the connectors are changed 680. If each connector is found in the curUOL tuples 682, then the host connection is moved 684 in the topology.

Another part of the identify different tuples phase 938 is shown in blocks 686 and 688 of Figure 20d. For each unmarked tuple in the newUOL tuples 686, the system checks 688 the timer/configuration to determine whether the host/conn should move into the default segment from its current segment.

An advantage of the system is that it may be schedulable. The system may map network topology continuously, as done by existing systems, or it may be scheduled to run only at certain intervals, as desired by the user. A further advantage of the system is that it is capable of processing multiple connections between the same devices and of processing connection meshes, because it tracks each nodal connection independently, without limitations on the types of connections that are permitted to exist.

1           Although the present invention has been described with respect to particular embodiments  
2 thereof, variations are possible. The present invention may be embodied in specific forms without  
3 departing from the essential spirit or attributes thereof. It is desired that the embodiments described  
4 herein be considered in all respects illustrative and not restrictive and that reference be made to the  
5 appended claims for determining the scope of the invention.

0703032-103100  
011201-2960260

## Claims

1. In a network having interconnected nodes and data tuples to represent nodal connections, a method for calculating connections between nodes, the method comprising:
  - identifying singly heard host links; and
  - building tuples to represent an infrastructure connected to the singly heard host links.
2. The method of claim 1, further comprising:
  - resolving conflicts among tuples; and
  - removing redundant neighbor information for connector-to-host links.
3. The method of claim 2, wherein the step of resolving conflicts comprises:
  - identifying a single conflict link tuple having a host that is identified in an extra host link tuple;
  - determining whether the extra host link tuple and the single conflict link tuple have the same port specification for the host; and
  - if the port specification is the same, classifying the single conflict link tuple as a singly heard host link tuple.
4. The method of claim 2, wherein the step of removing redundant data comprises:
  - identifying a multi-heard host link tuple having a host that is identified in an extra host link tuple,
  - determining whether the extra host link tuple and the multi-heard host link tuple have a different port specification for the host; and
  - if the port specification is different, classifying the multi-heard host link tuple as an extra host link tuple.
5. The method of claim 1, further comprising:
  - clarifying device connectivity by requesting additional information about nodal connections,
  - and
  - consolidating tuples for shared media devices.
6. The method of claim 1, wherein the step of identifying singly heard host links comprises:
  - identifying conn-to-conn link tuples;

1 identifying conn-to-host tuples; and  
2 if a tuple is a conn-to-host tuple hearing a first host on a first port, determining whether the  
3 connector hears any other hosts on the first port; and  
4 if the connector hears any other host on the first port, classifying the tuple as a multi-  
5 heard host link tuple.

6 7. The method of claim 1, wherein the step of building comprises:  
7 creating tuples based on singly-heard host links;  
8 creating tuples based on conn-to-conn links using existing tuples;  
9 creating tuples based on conn-to-conn links using extra host links tuples; and  
10 attempting to disprove invalid conn-to-conn links tuples.

11 8. A system for managing a network by mapping the network's topology using tuples  
12 to represent connections between network nodes comprising:

13 a tuple manager that gathers data from the network nodes; and  
14 a connection calculator that builds tuples based on the data gathered by the tuple manager.

15 9. The system of claim 8, wherein the connection calculator identifies singly heard host  
16 links, and builds tuples to represent an infrastructure connected to the singly heard host links.

17 10. The system of claim 9, wherein the connection calculator identifies singly heard host  
18 links by

19 identifying conn-to-conn link tuples;  
20 identifying conn-to-host tuples; and  
21 if a tuple is a conn-to-host tuple hearing a first host on a first port, determining whether the  
22 connector hears any other hosts on the first port; and  
23 if the connector hears any other host on the first port, classifying the tuple as a multi-  
24 heard host link tuple.

25 11. The system of claim 8, wherein the connection calculator resolves conflicts among  
26 tuples, and removes redundant neighbor information for connector-to-host links.

1           12.     The system of claim 8, wherein the connection calculator clarifies device  
2     connectivity by requesting the tuple manager to obtain additional information about nodal  
3     connections, and consolidates tuples for shared media devices.

4           13.     The system of claim 8, wherein the connection calculator builds tuples by:  
5     creating tuples based on singly-heard host links;  
6     creating tuples based on conn-to-conn links using existing tuples;  
7     creating tuples based on conn-to-conn links using extra host links tuples; and  
8     attempting to disprove invalid conn-to-conn links tuples.

9           14.     A computer-readable medium having computer-executable instructions for  
10    performing a method for calculating connections between nodes of a network comprising:  
11    identifying singly heard host links; and  
12    building tuples to represent an infrastructure connected to the singly heard host links.

13          15.     The medium of claim 14, wherein the method comprises:  
14    resolving conflicts among tuples by requesting additional information about nodal  
15    connections; and

16    removing redundant neighbor information for connector-to-host links.

17          16.     The method of claim 15, wherein the step of resolving conflicts comprises:  
18    identifying a single conflict link tuple having a host that is identified in an extra host link tuple;  
19    determining whether the extra host link tuple and the single conflict link tuple have the same  
20    port specification for the host; and  
21    if the port specification is the same, classifying the single conflict link tuple as a singly heard  
22    host link tuple.

23          17.     The method of claim 15, wherein the step of removing redundant data comprises:  
24    identifying a multi-heard host link tuple having a host that is identified in an extra host link  
25    tuple,

26    determining whether the extra host link tuple and the multi-heard host link tuple have a  
27    different port specification for the host; and



1 if the port specification is different, classifying the multi-heard host link tuple as an extra host  
2 link tuple.

3 18. The medium of claim 14, wherein the method comprises:  
4 clarifying device connectivity, and  
5 consolidating tuples for shared media devices.

6 19. The medium of claim 14, wherein the step of identifying singly heard host links  
7 comprises:

8 identifying conn-to-conn link tuples;  
9 identifying conn-to-host tuples; and  
10 if a tuple is a conn-to-host tuple hearing a first host on a first port, determining whether the  
11 connector hears any other hosts on the first port; and

12 if the connector hears any other host on the first port, classifying the tuple as a multi-  
13 heard host link tuple.

14 20. The medium of claim 14, wherein the step of building comprises:  
15 creating tuples based on singly-heard host links;  
16 creating tuples based on conn-to-conn links using existing tuples;  
17 creating tuples based on conn-to-conn links using the extra host links tuples; and  
18 attempting to disprove invalid conn-to-conn links tuples.

## Abstract

A software method is disclosed for processing data pertaining to connections in a communications network, such as a computer network. The data may be used to map the topology of the network to aid network management. The system creates data structures referred to as tuples to store the relationships between network nodes. A connection calculator receives tuple information from a tuple manager and creates additional tuples based on this data. The connection calculator identifies singly-heard host links, from which it then builds tuples to represent the network infrastructure. To build the infrastructure, the method creates tuples for the singly-heard host links, and then creates tuples for conn-to-conn links based on existing tuples and on hints derived from singly-heard host links tuples, which hints are maintained as extra host links tuples. The method then attempts to disprove invalid conn-to-conn links tuples and attempts to resolve conflicts between inconsistent tuples. The method creates tuples for nodes involving shared media connections. If the connection calculator cannot create a tuple because there is insufficient information about a connection, it requests additional information from that node. After the tuples are created, the connection calculator consolidates those binary tuples involving shared media connections into n-ary tuples to represent the shared media connection. The refined tuples may then be used to identify changes in the network topology.

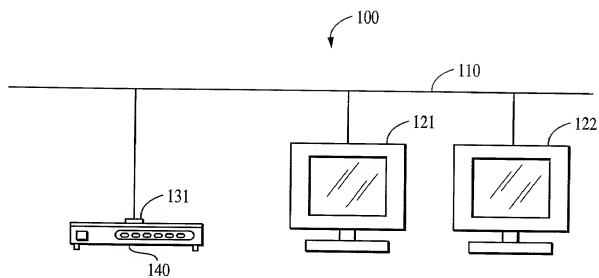


FIG. 1

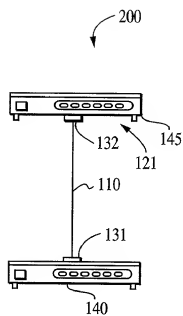


FIG. 2

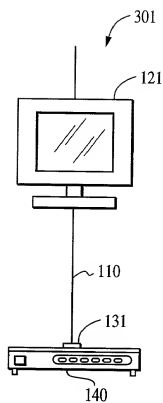


FIG. 3

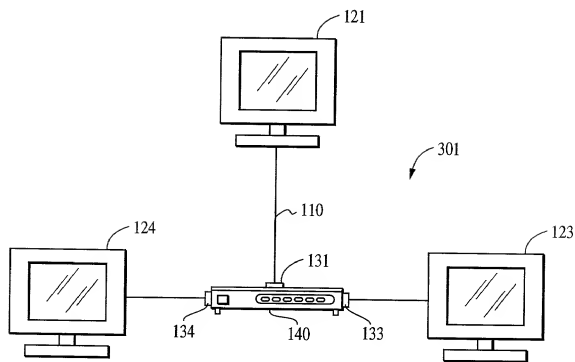


FIG. 4

FIG. 5

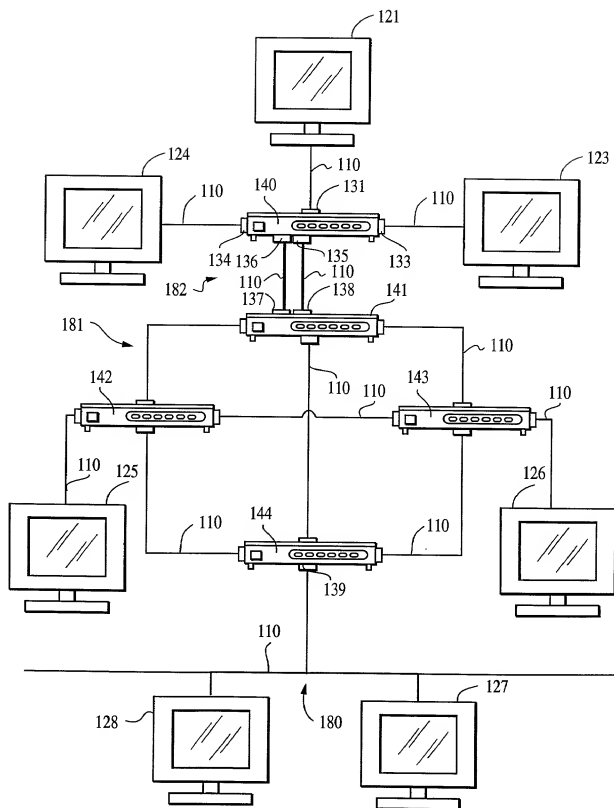
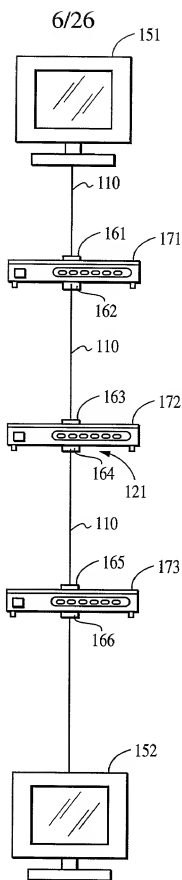


FIG. 6





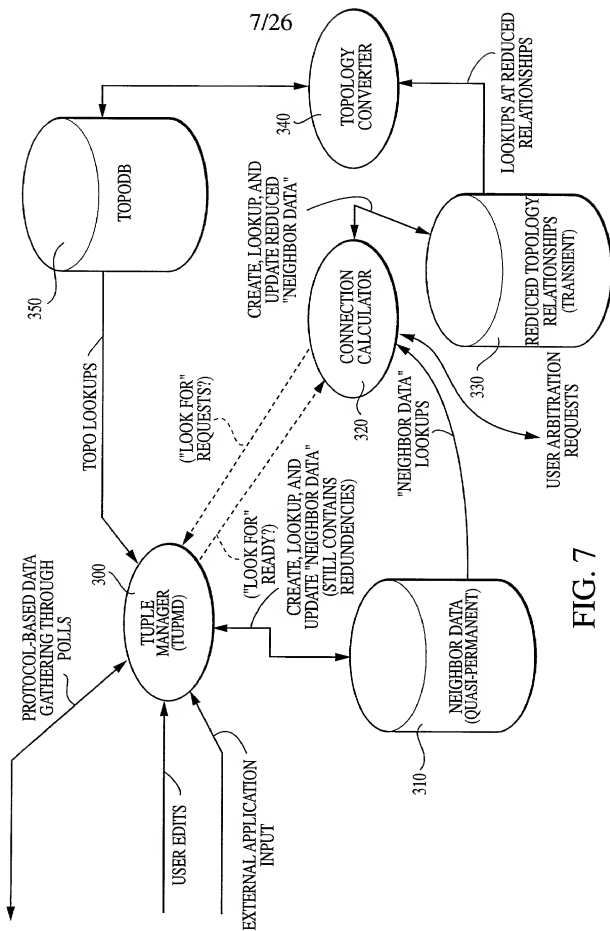


FIG. 7

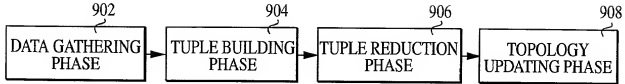


FIG. 8

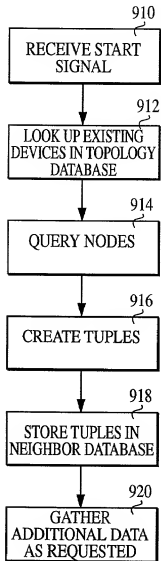


FIG. 9

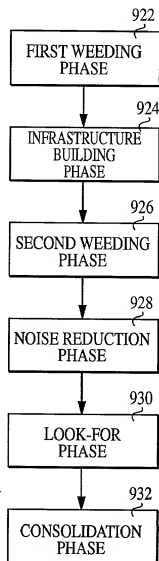
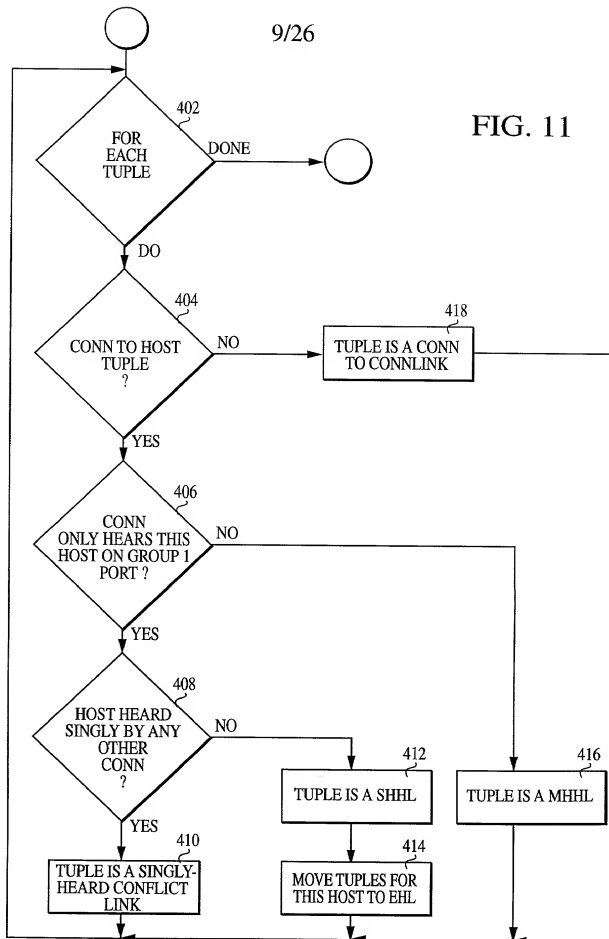


FIG. 10

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FIG. 11



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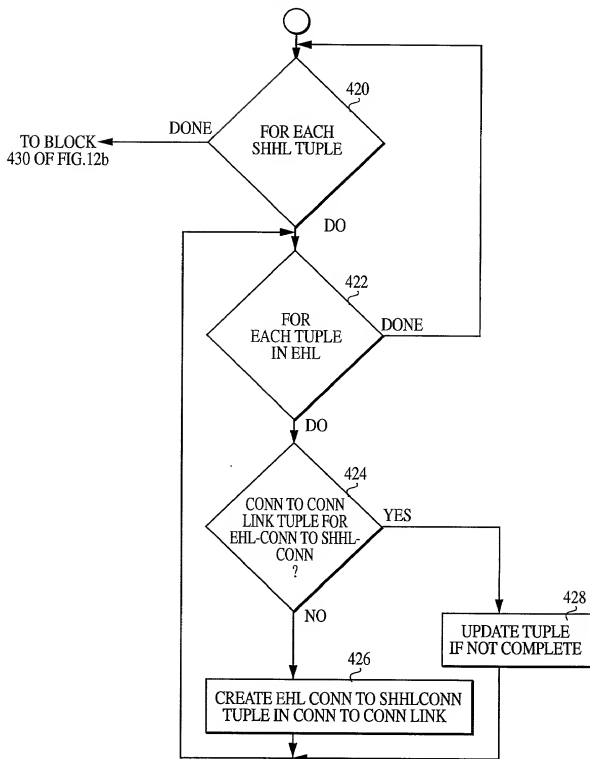
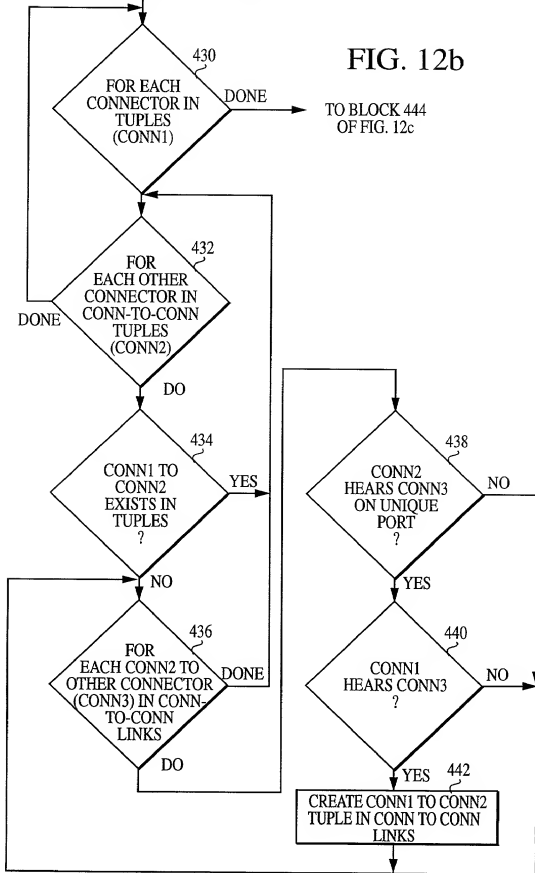


FIG. 12a

09703962.103100

FROM BLOCK 420  
OF FIG. 12a 11/26

FIG. 12b



FROM BLOCK 430 OF FIG. 12b

12/26

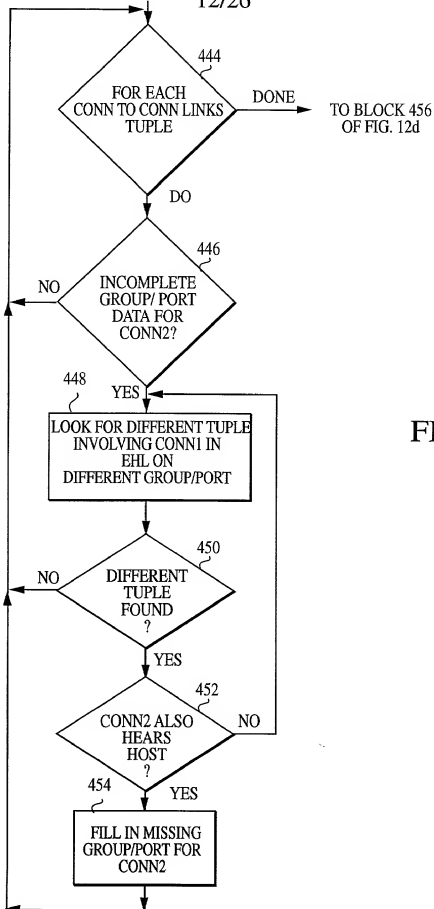


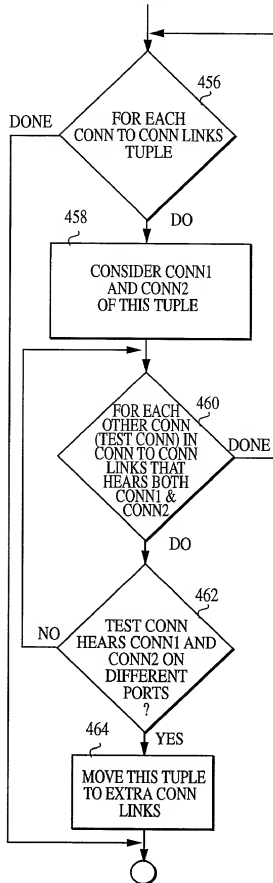
FIG. 12c

00763962 103100

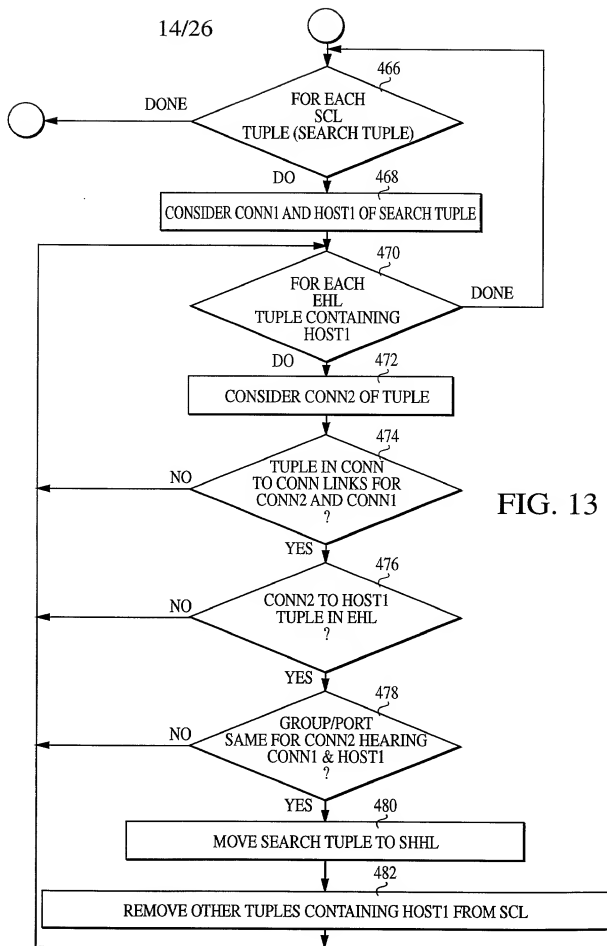
13/26

FROM BLOCK 444 OF FIG. 12c

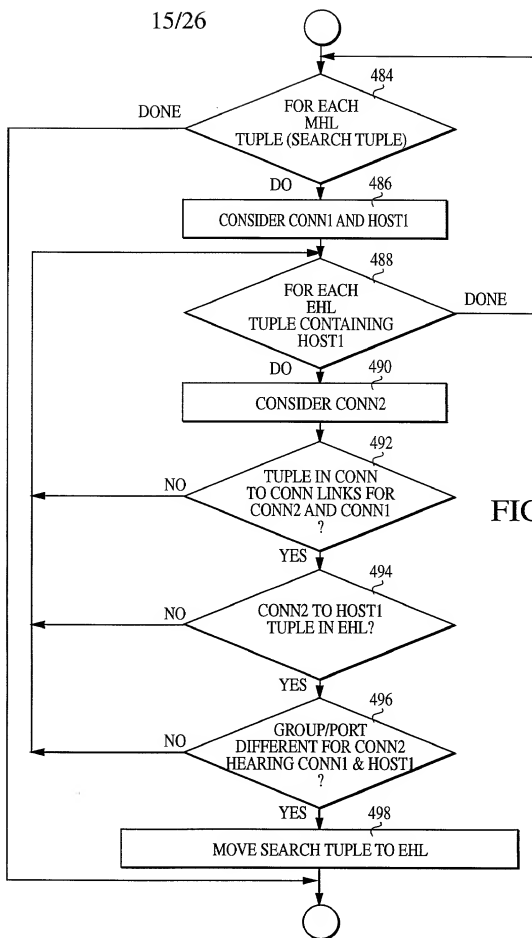
FIG. 12d



001001-2960060

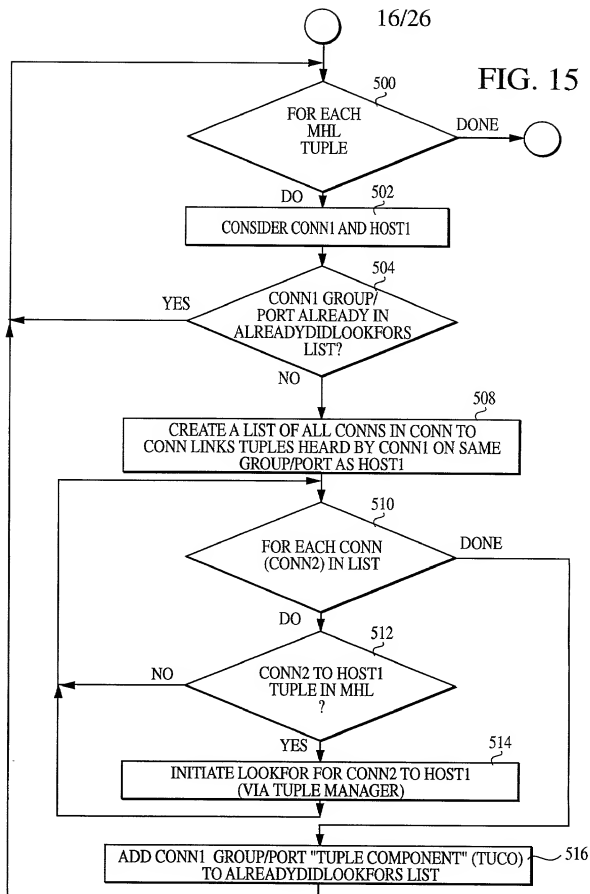






16/26

FIG. 15



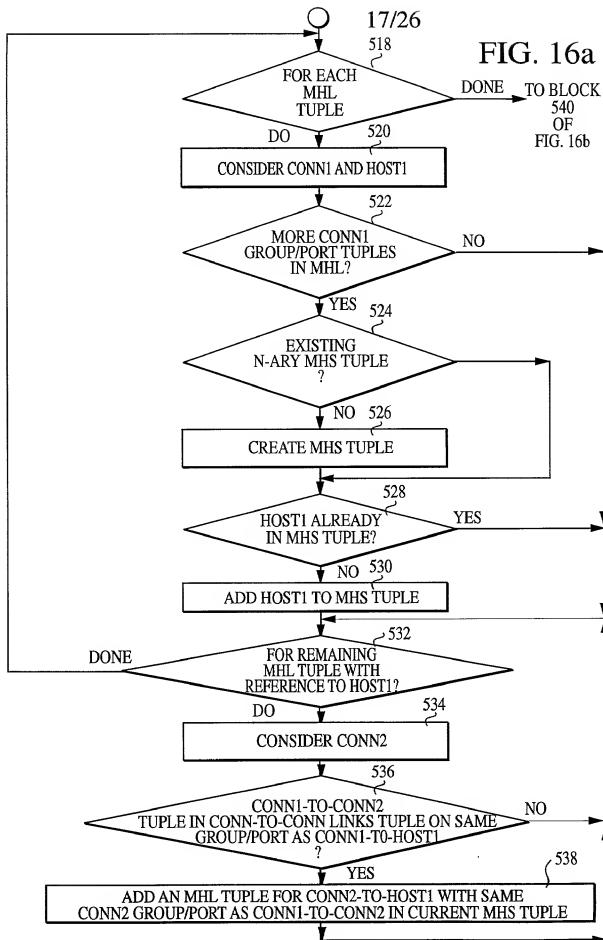


FIG. 16b

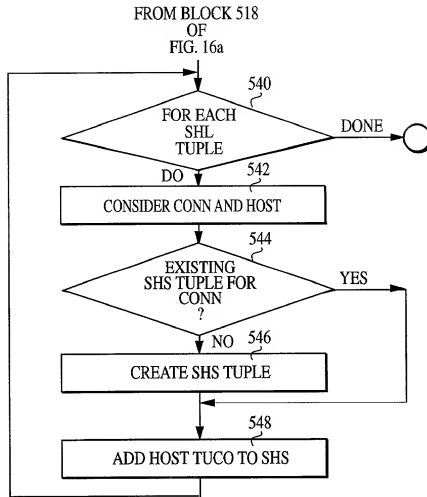
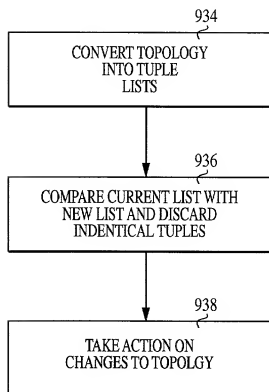
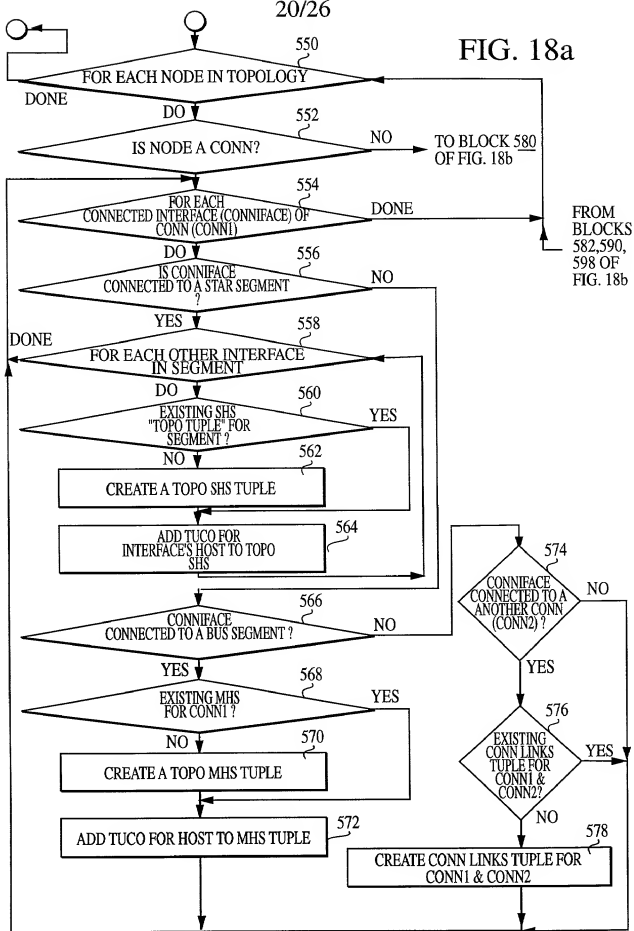


FIG. 17



FROM  
BLOCKS  
582,590,  
598 OF  
FIG. 18b



21/26

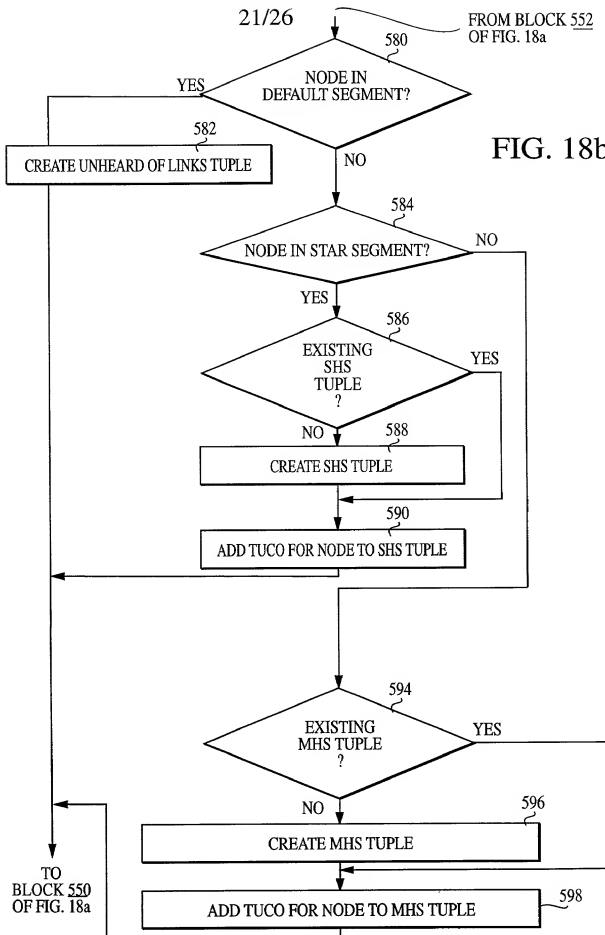
FROM BLOCK 552  
OF FIG. 18a

FIG. 19

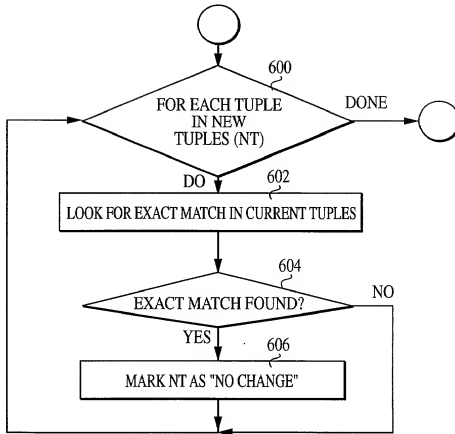
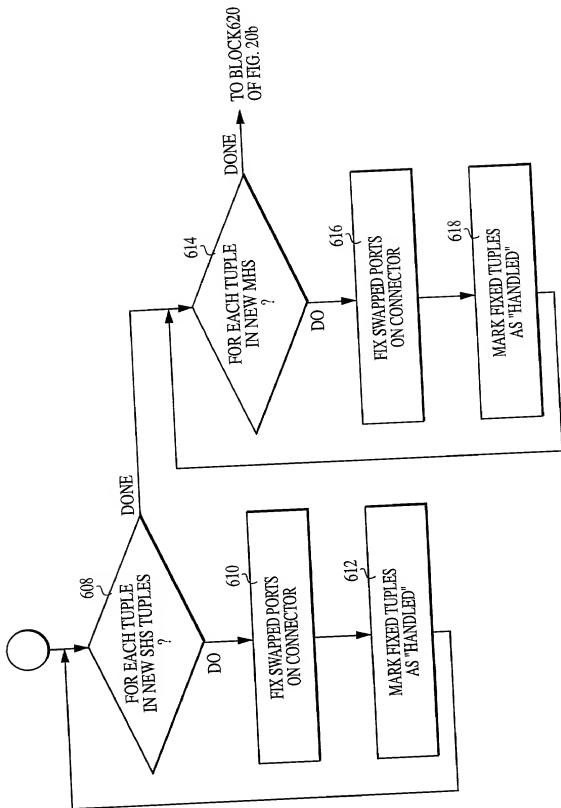
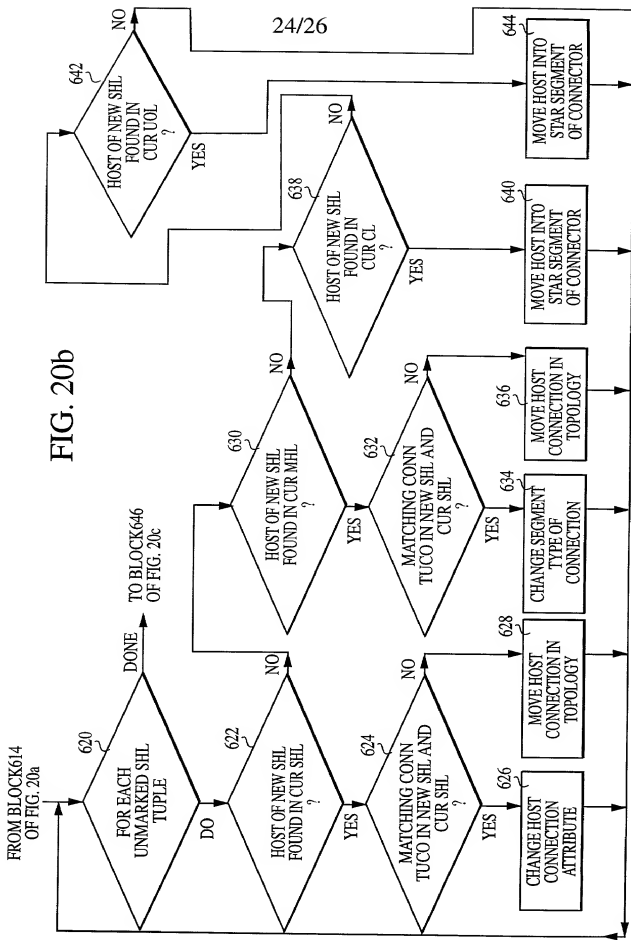




FIG. 20a





FROM BLOCK 620  
OF FIG. 20b

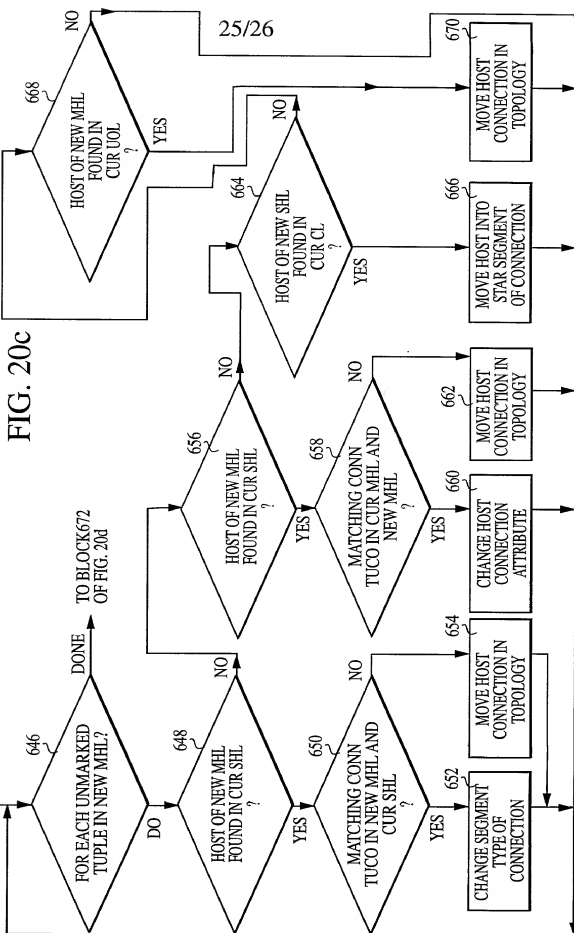
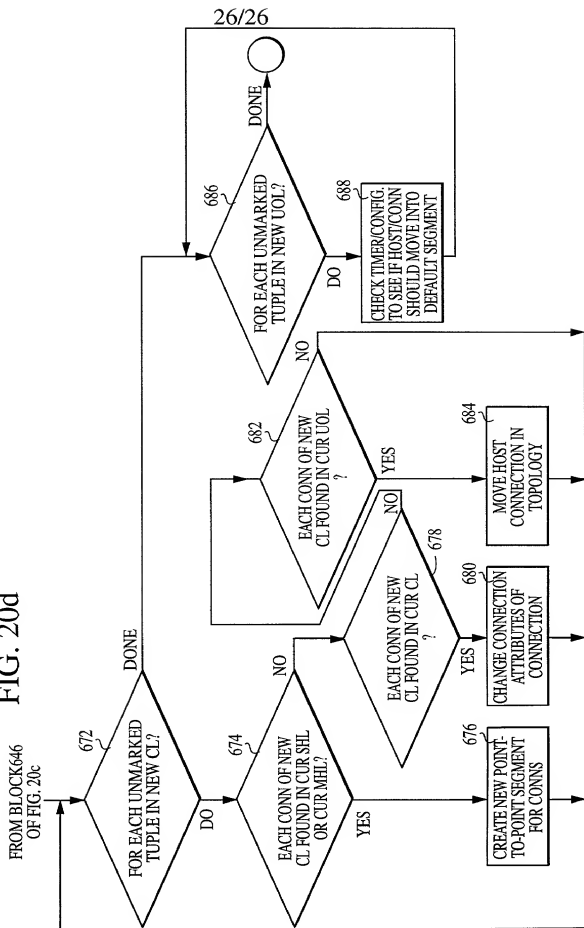


FIG. 20d



**DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION**
ATTORNEY DOCKET NO. 10008103-1

As a below named inventor, I hereby declare that:

My residence/post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**METHOD AND SYSTEM FOR PROCESSING DATA FOR NETWORK CONNECTIONS**

the specification of which is attached hereto unless the following box is checked:

( ) was filed on \_\_\_\_\_ as US Application Serial No. or PCT International Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understood the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose all information which is material to patentability as defined in 37 CFR 1.56.

**Foreign Application(s) and/or Claim of Foreign Priority**

I hereby claim foreign priority benefits under Title 35, United States Code Section 119 of any foreign application(s) for patent or inventor(s) certificate listed below and have also identified below any foreign application for patent or inventor(s) certificate having a filing date before that of the application on which priority is claimed:

COUNTRY	APPLICATION NUMBER	DATE FILED	PRIORITY CLAIMED UNDER 35 U.S.C. 119
			YES: <input type="checkbox"/> NO: <input type="checkbox"/>
			YES: <input type="checkbox"/> NO: <input type="checkbox"/>

**Provisional Application**

I hereby claim the benefit under Title 35, United States Code Section 119(e) of any United States provisional application(s) listed below:

APPLICATION SERIAL NUMBER	FILING DATE

**U. S. Priority Claim**

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

APPLICATION SERIAL NUMBER	FILING DATE	STATUS (patented/pending/abandoned)

**POWER OF ATTORNEY:**

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Customer Number 022879Place Customer  
Number Bar Code  
Label here

Send Correspondence to:  
**HEWLETT-PACKARD COMPANY**  
 Intellectual Property Administration  
 P.O. Box 272400  
 Fort Collins, Colorado 80527-2400

**Direct Telephone Calls To:**

T. Grant Ritz  
 (970) 898-0697

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Inventor: Eric A. PULSIPHERCitizenship: USResidence: Fort Collins, COPost Office Address: 2937 Redburn Drive, Fort Collins, CO 80525

Inventor's Signature

Date

DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION (continued)

ATTORNEY DOCKET NO. 10008103-1

Full Name of # 2 joint inventor: Joseph R. HUNT Citizenship: US  
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Inventor's Signature: *Joseph R. Hunt* Date: 10/31/00

Full Name of # 3 joint inventor: \_\_\_\_\_ Citizenship: \_\_\_\_\_  
Residence: \_\_\_\_\_  
Post Office Address: \_\_\_\_\_  
Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Full Name of # 4 joint inventor: \_\_\_\_\_ Citizenship: \_\_\_\_\_  
Residence: \_\_\_\_\_  
Post Office Address: \_\_\_\_\_  
Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Full Name of # 5 joint inventor: \_\_\_\_\_ Citizenship: \_\_\_\_\_  
Residence: \_\_\_\_\_  
Post Office Address: \_\_\_\_\_  
Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Full Name of # 6 joint inventor: \_\_\_\_\_ Citizenship: \_\_\_\_\_  
Residence: \_\_\_\_\_  
Post Office Address: \_\_\_\_\_  
Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Full Name of # 7 joint inventor: \_\_\_\_\_ Citizenship: \_\_\_\_\_  
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Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Full Name of # 8 joint inventor: \_\_\_\_\_ Citizenship: \_\_\_\_\_  
Residence: \_\_\_\_\_  
Post Office Address: \_\_\_\_\_  
Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_